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# A spatially explicit approach to modeling biological productivity and economic attractiveness of short-rotation woody crops in the eastern USA

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## Abstract

Over the past two decades, the United States government conducted detailed analyses of the potential of a biobased national energy strategy that produced four unified studies, namely the 2005–2016 US Billion-Ton Study and updates. With each effort, better perspective was gained on the biophysical potential of biomass and the economic availability of these resources on a national scale. It was also apparent that many questions remained, including crop yields, logistical operations, and systems integration across production and harvest. These reports accentuated the need for improving geospatial performance metrics for biomass supply chains. This study begins to address these problems by developing spatially specific data layers that incorporate data on soils, climatology, growth, and economics for short-rotation woody biomass plantations. Methods were developed to spatially assess the potential productivity and profitability of four candidate species *Pinus taeda* L., *Populus deltoides* W. Bartram ex Marshall and *Populus* hybrids, *Eucalyptus grandis* Hill ex Maiden, and *Eucalyptus benthamii* Maiden et Cabbage for biomass plantations in the eastern United States. Productivity was estimated using the process-based growth model 3PG (Physiological Processes Predicting Growth) parameterized at the resolution of the United States 5-digit zip code tabulation area (ZCTA). Each ZCTA is unique in terms of species suitability, cost, and productive potential. These data layers make available dedicated energy crop analyses for practitioners interested in facility siting scenarios in conjunction with a species growth potential at a particular location. Production systems for SRWC are extremely regionalized given key biophysical and economic factors that determine the potential for acceptable growth and profitability. This analysis points to the return on invested capital being dependent on the site location of a species within its operable range. Large-scale biomass plantation systems are feasible in regions with higher potential internal rate of return. The higher the potential return, the more desirable it is to plant the specific species on the site. Increasing the available feedstock by lowering cost, increasing productivity, and stabilizing logistics would have a similar effect as higher feedstock prices. The modeled growth can be used for further economic evaluation, carbon sequestration studies, and sustainability research.

**Keywords:** Short-rotation woody crops, 3PG models, SRWC economics, Bioenergy, LEV, IRR, *Pinus taeda*, *Populus deltoides*, *Eucalyptus grandis*, *Eucalyptus benthamii*

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## Background

Many countries seek to transform themselves into bio-based economies built on a foundation of “knowledge-based production and utilization of biological resources, innovative biological processes, and principles to sustainably provide goods and services across all economic sectors” [16]. Different approaches have been taken globally, in line with each country’s priorities and comparative advantages [11]. Processing biomass into bio-based products has taken two paths: substitution for fossil carbon, for example in energy production, and biotechnology innovation that creates new products [10, 51]. Renewable biomass can help diversify products and markets for agriculture and forestry, create jobs, and promote rural development [63, 67]. The path to a bio-based economy is not straightforward; in addition to the many different feedstocks available, conversion technologies are still being developed and the optimal combinations have yet to be determined. Transitioning to a bio-based economy will not be free of costs [7, 15], however, requiring that choices be made among policies that benefit different groups (e.g., [23, 45, 72]). Nevertheless, overcoming obstacles to the transition will require efficient and profitable supply chains and a supportive policy environment [79].

The bio-based sector already plays an important role in the United States (USA) economy, in 2013 contributing an estimated 4.22 million jobs and US\$393 billion in products [34]. The substitution goal was clearly promulgated in the planning target set by the Federal Biomass Research and Development Technical Advisory Committee to replace 30% of current US petroleum consumption with biofuels by 2030 [68, 69]. Detailed analyses of the potential of a bio-based national energy strategy [30, 50, 68, 69] provided increasingly better perspectives on the biophysical potential of biomass and the economic availability of these resources nationally. Fully realizing the identified potentials, however, is a logistical challenge requiring that costs and energy inputs be as low as possible [16] and that environmental effects are adequately considered and mitigated [9, 32, 38, 71].

Despite the strategic clarity gained by these national analyses, many tactical questions remain including feedstock species and yields, production costs, logistical operations, processing and conversion technologies, and environmental sustainability [79]. *Biomass feedstocks* are diverse, comprising the plant and algal materials of various origins from green to waste material [24]. Woody (lignocellulosic) biomass, so-called second generation biomass feedstock, comes from forest residues and purpose-grown plantations. Biomass for bioenergy is one product and the USA South is a world leader in wood pellets manufacture, exporting over 4.6 billion kilograms of wood pellets to meet greenhouse gas reduction goals in other countries. This expanding market has benefited forest landowners in the USA and consumers in primarily Europe and Asia [22, 28, 38], but not

without controversy in terms of the effects on native forests [20, 21, 61, 78]. Nevertheless, the bioenergy solution in the USA will likely include short-rotation woody crop plantings [39] where they are expected to account for 377 million dry tons of the 1.37 billion dry ton total biomass resource potential [69].

Sustainability of biomass feedstocks has interconnecting environmental, economic, and social facets. Tradeoffs among them vary widely by feedstock types and growing locations; alternative systems need to be consistently evaluated and compared [73]. Woody biomass feedstocks can come from two sources, forest residues or dedicated short-rotation woody crops (SRWC). Forest residues are widely dispersed with lower energy density and higher moisture content, for example as compared to coal. Even if conversion technology was free of technical and economic limitations, the cost of transporting woody feedstock to a centralized biorefinery would still be a major cost hurdle [4, 5, 91] greatly affecting any feedstock supply chains that may develop [44, 57]. Because of the high transportation and handling costs of biomass fuels, it seems sensible to consider residues as well as SRWC as local fuels to be produced and used within local regions [40, 58] and evaluated on that basis (e.g., [49]).

Sustainability can be evaluated by identifying suitable areas for production, excluding environmentally sensitive or protected areas, as well as estimating the cost of harvesting and transporting biomass. Sustainability of dedicated SRWC plantations must be individually assessed by species because their site adaptations and growth requirements differ. Determining where suitable and available lands are located must consider biological, economic, and societal factors that affect the amount and type of biomass that could be made available [6, 77].

The objective of this paper is to describe a spatially explicit method of defining profitability potential of candidate SRWC species that can be used to assess sustainability of their production and extended to other questions such as the effects of extreme weather and climate change, carbon substitution and sequestration potential of SRWC, and potential environmental effects of widespread deployment of SRWCs.

We illustrate the utility of this method by application to five target trees (four species including one hybrid) in the eastern USA identified by national analyses as best candidates for SRWC: *Pinus taeda* L. (loblolly pine), *Populus deltoides* W. Bartram ex Marshall and *Populus* hybrids (Eastern cottonwood and hybrid poplar), *Eucalyptus grandis* Hill ex Maiden (rose gum), and *Eucalyptus benthamii* Maiden et Cabbage (Camden white gum). Detailed results for the individual species are available [66, 82, 83] and aggregated results are used here to illustrate the method. This study builds upon the Biomass Supply Assessment Tool (BioSAT), a publicly available

decision support tool [8]. BioSAT is a web-based system designed for decision-makers to assess the comparative economic advantages of cellulosic supply at the regional, inter-state, and intra-state levels [65, 95]. BioSAT contains transportation, harvesting, and resource cost models that can be used to provide spatially explicit biomass economic supply curves for agricultural and forest residues within the 33 eastern states in the USA.

## Methods

### Spatial analysis

The signature feature of our approach was spatially explicit visualizations of potential profitability of target SRWC species (Fig. 1). For this we modeled productivity and potential profitability at the spatial resolution of the 5-digit ZIP Code Tabulation Area (ZCTA) level in the operational range of each species. A ZCTA is generally smaller than a political sub-division such as a county but ZCTAs are not of uniform area. For example, there are about 30,000 polygons averaging 16,900 ha each within the 38 states in the full BioSat system, although in the 13 southern states in the range of loblolly pine, the 10,016 ZCTAs average 20,900 ha each. Because demographic and other census data are collected and reported by ZCTAs, it was possible to combine socioeconomic with biophysical data in a common spatial unit. We used the US ZCTA boundary map [85] to combine model inputs and outputs for each ZCTA.

### Geographic range

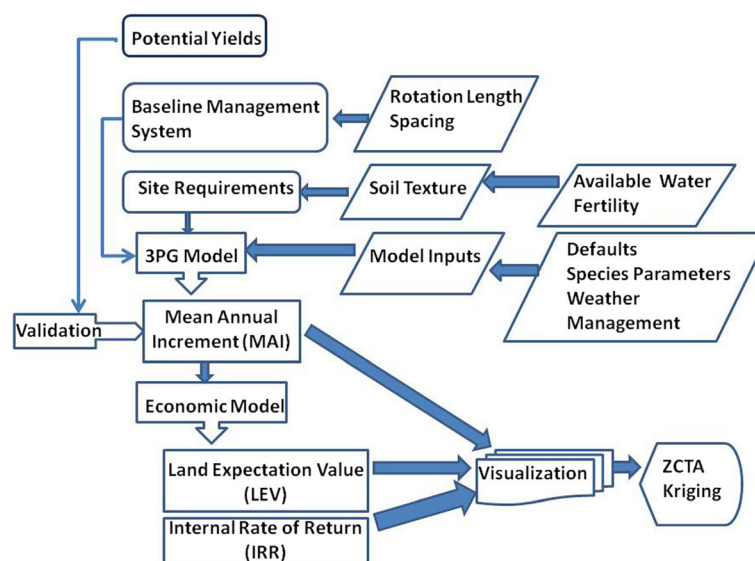
We identified the operational geographic range for each of the species (Fig. 2). The ranges for the two native species, *Populus deltoides* and *Pinus taeda*, were based

on Little [52]. *Eucalyptus* species are not native to the USA and are generally intolerant of cold weather, thus limited in their potential growing range in the southern USA. Of the two non-native *Eucalyptus* species, *E. grandis* is grown commercially in peninsular Florida and the operational range was based on Rockwood [74]. *E. benthamii* is thought to be adapted to the USDA Plant Hardiness Zones 9A and 9B [86], overlapping to some extent with the range of *Pinus taeda* (Fig. 2). It is one of the *Eucalyptus* species being grown in east Texas and west Louisiana [36].

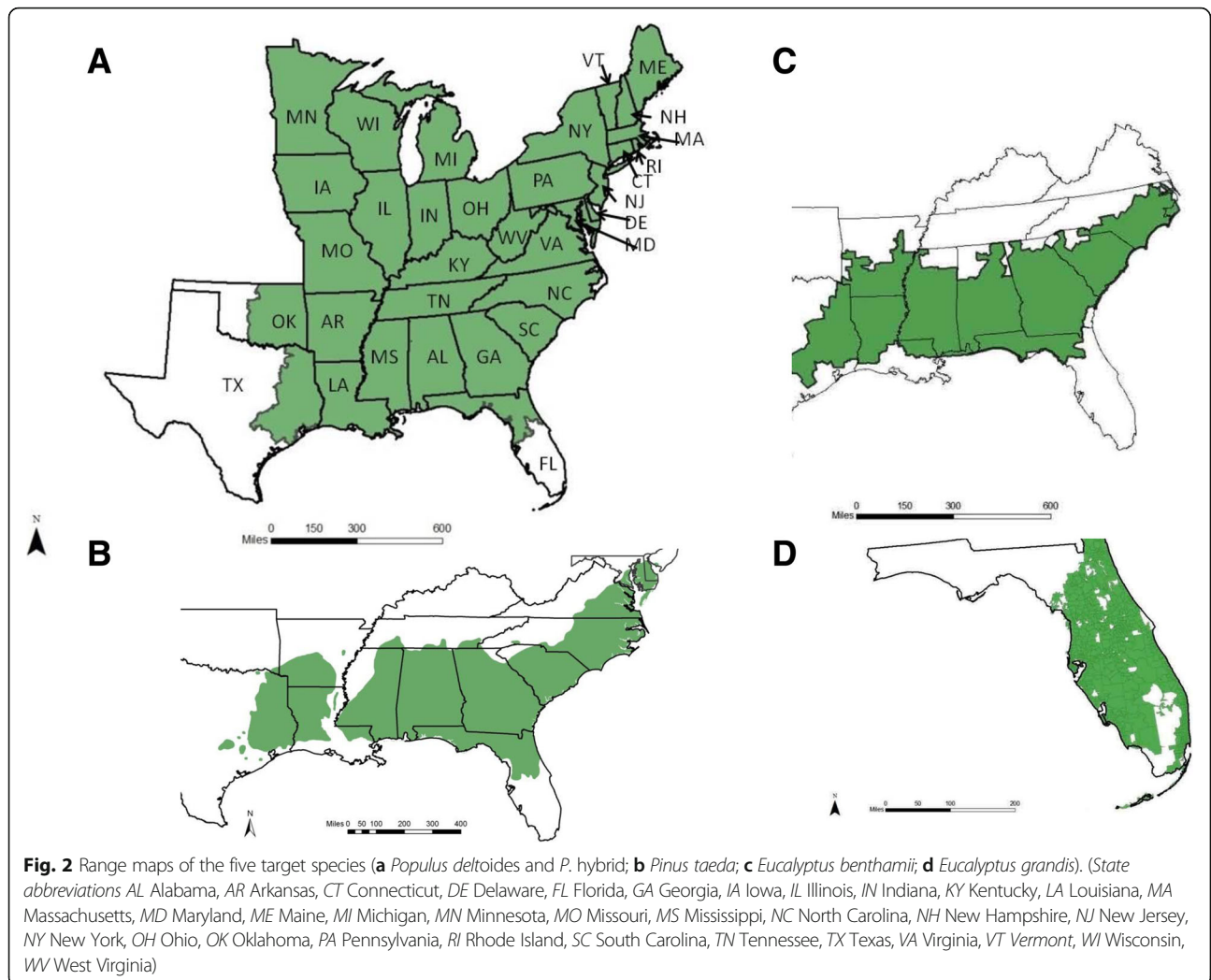
Some areas were excluded because of higher value land use options than forestry. These were mostly coastal sites including Key West, St. Petersburg, Tampa, Hialeah, Ponce Inlet, Lauderdale, and Sea Hag Marina in peninsular Florida; Panama City, Brooksville Chin, Apalachicola, Destin FT Walton, Jacksonville, and Ponce Inlet in the Florida panhandle; Charleston City in South Carolina; Freeport in Texas; Dauphin Island in Alabama; and Hackberry in Louisiana.

### Soil data

Tabular and spatial data for soil series were collected from USDA Natural Resources Conservation Service [87] SSURGO database at the county level. Each soil attribute was linked with the tabular component to acquire the attribute description and to determine its soil texture from NRCS Soil Series Description Query Facility. A matrix of soil texture classes (sand, sandy loam, clay loam, and clay) and associated fertility and soil water availability was developed to simplify inputs into 3PG (Table 1). The matrix was further divided into upland and lowland sites to represent differences in soil drainage; upland sites are moderately



**Fig. 1** A spatially explicit method for modeling potential profitability of short-rotation woody crops using the process-based growth model 3PG (MAI), economic analyses (LEV and IRR), and visualization by kriging at the level of the 5-digit ZCTA



**Table 1** Fertility rating, fertilizer response, minimum and maximum available soil water in terms of eight soil texture and site position combinations

Soil texture	Site position	Fertility <sup>a</sup> rating	Fertilizer <sup>b</sup> response	Minimum <sup>c</sup> available soil water	Maximum <sup>c</sup> available soil water
Sand	Upland	0.15	0.60	50	100
Sand	Lowland	0.30	0.45	50	100
Sandy loam	Upland	0.30	0.50	100	150
Sandy loam	Lowland	0.50	0.30	100	150
Clay loam	Upland	0.55	0.25	150	200
Clay loam	Lowland	0.70	0.10	150	200
Clay	Upland	0.65	0.15	200	250
Clay	Lowland	0.75	0.05	200	250

<sup>a</sup>Index of inherent soil fertility; 1 = high fertility, 0 = low fertility

<sup>b</sup>Index of responsiveness to added nutrients that depends on ability to add leaf area

<sup>c</sup>Available soil water in mm H<sub>2</sub>O m<sup>-1</sup> soil depth

well- to exceptionally well-drained and lowland sites are somewhat poorly, poorly, and very poorly drained. The dominant texture class (soil texture with the largest area in a ZCTA) was assigned to each ZCTA using the spatial overlay feature of ArcGIS®.

To capture the range of productivity potential, we added fertility and available soil moisture to the matrix (Table 1). The fertility rating is an index ranging from 0 to 1 where a rating of “1” implies very high nutrient availability and “0” frames the low end of available nutrition. The inherent fertility rating is based largely on how soil texture and soil organic matter affect soil nitrogen (and secondarily phosphorus) supplying capacity and retention capacity. Available soil water is a function of soil texture and depth; maximum and minimum available soil water was specified for each combination of texture class and site position; measurement units were millimeters of water depth per meter of soil depth. Available soil moisture was estimated from texture as  $\text{cm}^2 \text{m}^{-1}$  of soil depth (Table 1).

#### Weather data

We acquired monthly mean data from 1995 to 2004 at regional weather stations in the operable range of each species. Monthly average data from individual weather stations were obtained from NOAA [60]. Monthly averaged solar radiation at each weather station location was obtained from NASA [59]. Stations with incomplete records were excluded; for the counties with no data, we associated each one with the closest weather station with complete data. Weather data were collected at stations; hence there were some ZCTA with multiple data points. We derived monthly ZCTA-level weather data by averaging monthly data from each weather station within a ZCTA over the 10-year period from 1995 to 2004. The data input for a given month was the average of 10 monthly values for each weather variable.

#### Growth modeling

The target species differ in their growth habits and site requirements. Two of the target species are native to the eastern USA but differ considerably in their site adaptations. Loblolly pine (*Pinus taeda*), an evergreen conifer, is adapted to the climate and soils of the southern USA and is widely planted throughout the southern coastal plain and Piedmont [80] and is the only one of the target species that lacks the ability to coppice. Although all of the other target species are broadleaves, the *Populus* spp. are deciduous and the *Eucalyptus* species are evergreen. The other native species, Eastern cottonwood (*Populus deltoides*), grows best on better drained alluvial sites throughout the eastern states, achieving maximum growth on riparian sites in the southern states [81]. Poplar hybrids have been developed that grow well in the northern states, outperforming the native cottonwood,

whereas cottonwood does better than hybrids in the south due to better resistance to disease [55]. Consequently, we chose to model cottonwood and hybrid poplar differently; north of a dividing line along the border of Arkansas, Kentucky, Virginia, and Maryland, we modeled hybrid poplar and cottonwood to the south [83]. The two non-native *Eucalyptus grandis* and *E. benthamii* are restricted in their potential range by cold temperatures; *E. grandis* is the least frost tolerant of the two and grown commercially in southern Florida. Somewhat more frost tolerant, *E. benthamii* is thought to be adapted to coastal plain sites farther north but not far inland [82].

#### 3PG model

The flexible 3PG model has been used successfully to estimate productivity for a variety of sites and environmental conditions [47], including greenfield situations where the species had not previously been planted [1]. The values of some variables are likely specific to the genetics of the species being used (e.g., [37, 93]) and most work to date has used a combination of literature values and yield data from experimental treatments of fertilization, irrigation, or both to parameterize the model. We followed this approach and parameterized our model with the data available from multiple studies where the parameter values of interest may or may not have been the focus of the study. Model parameters used for the target species are summarized in Table 2.

The 3PG model estimates primary productivity for a species and then allocates that growth to various plant parts (roots, shoots, branches, and leaves). Approximately 42 inputs are required to run the model. The primary variables are detailed tree physiological measures and some are general constants or defaults typical of trees in general. Other variables are species-dependent: canopy structure and process variables (specific leaf area, extinction coefficient for photosynthetically active radiation absorption, age of full canopy cover, canopy quantum efficiency, and proportion of rainfall intercepted by canopy) determine light capture, light use, and precipitation interception.

The model calculates gross primary productivity as a function of absorbed photosynthetically active radiation (APAR) and the species effective canopy quantum efficiency (QE, carbon produced per unit of light intercepted). The effective QE is calculated by constraining the maximum possible QE by the effect of the vapor pressure deficit (VPD) on stomatal conductance and therefore carbon (C) captured and water transpired. Net primary productivity (NPP) is estimated from a constant ratio of GPP to NPP, and thus respiration is not tracked or accounted for directly.

**Table 2** 3PG model parameters for the target species

3PG symbol	Description	<i>Pinus taeda</i>	<i>Eucalyptus benthamii</i>	<i>Eucalyptus grandis</i>	Hybrid poplar North	<i>Populus deltoides</i> South Upland	<i>Populus deltoides</i> South Lowland	Units
Allometric relationships and partitioning								
pF52	Ratio of foliage:stem partitioning at stem diameter = 2 cm	0.4	0.6	0.6	0.6	0.5	0.5	
pF520	Ratio of foliage:stem partitioning at stem diameter = 20 cm	0.25	0.25	0.25	0.4	0.3	0.3	
StemConst	Constant in stem mass v diameter relationship	0.1	0.15	0.15	0.095	0.095	0.095	
StemPower	Power in stem mass v diameter relationship	2.5	2.8	2.8	2.5	2.5	2.5	
PRx	Maximum fraction of NPP to roots	0.4	0.6	0.6	0.25	0.3	0.3	
PRn	Minimum fraction of NPP to roots	0.2	0.2	0.2	0.15	0.2	0.2	
Temperature modifier								
Tmin	Minimum temperature for growth	4	4	8	5	5	5	°C
Topt	Optimum temperature for growth	25	25	25	20	25	25	°C
Tmax	Maximum temperature for growth	38	36	36	40	40	40	°C
kF	Number of days production lost for each frost day	1	3	5	1	1	1	Days
Age modifier								
MaxAge	Maximum stand age	35	50	50	50	50	50	Years
nAge	Power of relative age in $f_{age}$	3	9	9	2	15	15	
rAge	Relative age to give $f_{age} = 0.5$	0.2	35	0.95	0.9	0.9	0.9	
Litterfall and root turnover								
gammaFx	Maximum litterfall rate	0.042	0.07	0.07	0.25	0.25	0.25	month <sup>-1</sup>
gammaF0	Litterfall rate at $t = 0$	0.001	0.15	0.15	0.001	0.001	0.001	month <sup>-1</sup>
tgammaF	Age at which litterfall rate has median value	18	35	35	15	15	15	month
Rtturn	Average monthly root turnover rate	0.0168	0.009	0.009	0.005	0.002	0.002	month <sup>-1</sup>
Conductance								
MaxCond	Maximum canopy conductance	0.006	0.03	0.03	0.02	0.02	0.02	m s <sup>-1</sup>
LAlgcx	Canopy LAI for maximum canopy conductance	3	3.33	3.33	3.33	3.33	3.33	-
CoeffCond	Defines stomatal response to VPD	0.025	0.05	0.05	0.06	0.05	0.043	mbar <sup>-1</sup>
BLcond	Canopy boundary layer conductance	0.1	0.2	0.2	0.2	0.2	0.2	m s <sup>-1</sup>
Fertility effects								
m0	Value of $m$ when $FR = 0$	0.1	0	0	0	0	0	
fN0	Value of $f_N$ when $FR = 0$	0.5	0.6	0.6	1	1	1	
Stem mortality								



Internal equations allocate NPP to the several tree components (bole, branches, and leaves, coarse and fine roots). The portion of NPP allocated to the roots is influenced by moisture relations and soil nutrition. Allocations of NPP to stems and foliage are a function of the ratio of weight of foliage:dbh to the weight of stem:dbh. Foliage weight is impacted by soil nutrition, which is indexed by a fertility rating (FR) ranging from 0 to 1. Carbohydrate calculations are conducted on a single tree basis. Initial stand level stocking is a user-selected variable and survival is calculated using the self-thinning law. Litter fall and root turnover are calculated monthly.

### SRWC species

***Pinus taeda*** Loblolly pine has been modeled using 3PG by Landsberg et al. [48] and Bryars et al. [14]. We used the parameters from Bryars et al. [14] with only one exception, a minor change in the TBB (age at which the branch and bark fraction equals one). The range is from age 0 to mature stands; they used 15 and we used 4 because of our shorter rotation age [66].

***Populus*** 3PG has been used to model growth of hybrid poplar in Canada [3] and the northern USA [27, 37]. We used several parameters from Amichev et al. [3] directly or as a base that was adjusted. Their study for hybrid poplar Walker (*P. deltoides* × *P. nigra*) used data from three sites in Saskatchewan, which is at the northern extreme of our region and their sites were planted at comparatively low densities. Because their values for canopy quantum efficiency, stem-foliage partitioning, and specific leaf area produced lower model estimates for the northern USA than validated production numbers for hybrid poplar in the literature, we adjusted parameter values for maximum canopy quantum efficiency, litterfall and root turnover, and branch and bark fractions [3] but used their values for specific gravity, temperature range, and the frost modifier.

The 3PG model used for hybrid poplar was also used for cottonwood [3] but some parameters varied slightly from those used for hybrid poplar. The ratios of foliage:stem partitioning at two stem diameters, 2 and 20 cm (PFS2 and PFS20), were 0.5 and 0.3 for hybrid poplar versus 0.6 and 0.4 for *P. deltoides*. Optimal temperature for growth was 20 °C for hybrid poplar versus 25 °C for *P. deltoides*. The coefficient of conductance, which defines stomatal response to vapor pressure deficit, was set at 0.05 and 0.043 mbar<sup>-1</sup> for *P. deltoides* on upland and lowland sites respectively and 0.06 mbar<sup>-1</sup> for hybrid poplar. Mainly due to initial differences in planting density, maximum stem size per tree was set at 220 kg tree<sup>-1</sup> for *P. deltoides* and at 100 kg tree<sup>-1</sup> for hybrid poplar.

***Eucalyptus grandis* and *E. benthamii*** The 3PG model has been used successfully to model various *Eucalyptus* species [1, 29, 46, 76]. After comparing models developed for *E. grandis* [1] and *E. grandis* × *urophylla* in Brazil [2, 29] and Dye et al. [29] for *E. grandis* × *camaldulensis* in South Africa, we based our work on Dye et al. [29]. Results of the other two models were unrealistically high compared to literature and operational yields. We used the same parameterization for both *E. grandis* and *E. benthamii* except for the frost modifier, specific leaf area, and wood density.

The frost variables and modifiers in 3PG affect how monthly NPP is allocated. Frosts are infrequent in most of the operational range of *E. grandis* but they do occur so we used a modifier of 5 days of production loss for each frost day. For the less sensitive *E. benthamii*, the frost modifier was set at 3 days per frost event. Estimates of potential thresholds for foliage damage to *E. benthamii* by age are based on observed damage [90] and the mortality threshold is based on Dougherty and Wright [26]. A higher value of specific leaf area (SLA) for *E. benthamii*, 9.1 m<sup>2</sup> kg<sup>-1</sup> was based on destructive sampling of 3-year-old trees near Fargo, GA (Dougherty, *unpublished*). A higher value for wood density for *E. benthamii* of 0.55 g cm<sup>-3</sup> was based on Pirraglia et al. [70].

### Initialization inputs

Initialization inputs describing site-specific values for soils included texture class, fertility effect, initial available soil water, and maximum and minimum available soil water (Table 1). Weather data included frost days, precipitation, and minimum and maximum temperature. Species-specific data inputs included initial weights of foliage, stem, and root biomass, expected defoliation rates, and a ranking for competition from weeds (Table 2).

### Silvicultural management regimes

We defined the silvicultural regimes for each species (Table 3). The genotypes used were those generally available to most producers so that the yields reflect current average genetic technology. Except for loblolly pine (bareroot), stock types for all species were cuttings. The management regime for each species was operationally intensive and aimed at advanced but economically feasible regimes. Planting density was the same for cottonwood and the *Eucalyptus* species (1730 sph) and slightly higher for loblolly pine (2224 sph). Hybrid poplar was planted at higher density, 10,000 sph, and managed similarly to willow bioenergy systems (e.g., [88]).

*Eucalyptus* and *Populus* species have the ability to coppice; productivity of a coppice rotation depends on both coppice vigor and survival. The amount of stored energy in the root system determines the growth of subsequent coppice stands and the stored energy depends on the



**Table 3** Silvicultural regimes for target woody crops in the eastern United States

Species	Region	Operable soils <sup>a</sup>	Planting density, trees ha <sup>-1</sup>	Initial rotation, years	Coppice rotations	Coppice length, years	Total rotation length, years
<i>Pinus taeda</i>	Southeast	S, SL, CL, C	2224	12	0	0	12
<i>Populus deltoides</i>	South	SL, CL, C	1730	8	2	8	24
Hybrid <i>Populus</i>	North	SL, CL, C	10,005	4	5	4	24
<i>Eucalyptus grandis</i>	South Florida	S, SL, CL, C	1730	5	2	5	15
<i>Eucalyptus benthamii</i>	Southeast	S, SL, CL, C	1730	5	2	5	15

<sup>a</sup>Soil textures: S sandy, SL silt loam, CL clay loam, C clay

size of the stump, the vigor of the harvested plant, and the internal allocation of carbohydrates at the time of harvest. Production increases in the initial coppice rotation and decreases in the second coppice stand because mortality increases. We modeled the first coppice yield to be 115% of the initial harvest and a decline in the yield of the second coppice to 80% of the first coppice.

Poplars and eucalypts are capable of producing high leaf area levels resulting in high nutrient demand. Loblolly pine also exhibits rapid early growth and responds readily to fertilization. Growth across soil types depends on the ability to produce more leaf area for light interception. The response to fertilization depends on the inherent (fertility rating in Table 1) or manipulated level of soil fertility (fertility response). On soils with high inherent fertility, leaf area levels are already high and added nutrients will not increase light capture because it is already high. Alternatively, soils with inherently low nutrient levels can see major responses in productivity from fertilization because there is room to grow additional leaves for light capture. All stands were fertilized at rates comparable to current best practices for economically viable biomass production for each species. Operational fertilization regimes typically include a starter fertilizer and one or more follow-up applications. Fertilization rates and other management activities and their costs are given in Tables 4, 5, and 6.

### Validation

Our approach to model validation was to compare our modeled outputs to published or observed data for loblolly pine, cottonwood and hybrid poplar, and *E. grandis*. Normal practice would have been to completely parameterize the model on one set of data from a specific site and then compare the modeled growth data to a second set of measured data. This was not appropriate since our interest was in mean yields over the geographic ranges of the species. We did compare our data to literature results from field experiments and the parameterizations we used were themselves validated in the usual way.

### Economic modeling

A variety of approaches have been used to assess the financial feasibility of SRWCs [31]; net present value (NPV) is the most commonly used financial valuation method. This method discounts all costs and benefits over a rotation or a planning horizon to a reference time, i.e., it is the present value of future revenues minus the present value of future costs. The land expectation value (LEV) is the NPV of bare land assuming a perpetual land management regime and is used to correctly consider the opportunity cost of capital and land and determine optimal forest management practices [17]. The internal rate of return (IRR) of an investment is the discount rate at which the NPV equals zero. The higher a

**Table 4** Management practices and related costs for loblolly pine (*Pinus taeda*) on lowlands and uplands (costs based on Dooley and Barlow [25])

Year	Activity	Bottomland	Upland	Cost, \$US ha <sup>-1</sup>
0	Chemical site preparation	X	X	185
0	Spot pile, shear, and bed	X		593
0	Di-ammonium phosphate application (22.4 kg ha <sup>-1</sup> )	X	X	89
0	Open pollinated seedlings (2224 trees ha <sup>-1</sup> )	X	X	124
0	Planting labor	X	X	\$178
0	Herbaceous weed control treatment	X	X	111
3	Urea Fertilizer (487.5 kg ha <sup>-1</sup> )	X	X	395
8				
12	Harvest	X	X	

**Table 5** Management practices and related costs for *Eucalyptus grandis* and *E. benthamii* (costs based on Dooley and Barlow [25])

Year <sup>a</sup>	Activity	Cost, \$US ha <sup>-1</sup>
0	Spot raking	99
0	Chemical site prep	161
0	Single pass bed	210
0	Weeding	86
0	Planting (1730 stems ha <sup>-1</sup> )	605
11	Weeding	124
11	Nitrogen fertilizer (45 kg ha <sup>-1</sup> )	96
22	Nitrogen fertilizer (179 kg ha <sup>-1</sup> )	388
44	Nitrogen fertilizer (224 kg ha <sup>-1</sup> )	484
55	Harvest <sup>b</sup>	
0	Shearing (after each harvest)	222

<sup>a</sup>Indicates the year of each rotation

<sup>b</sup>Harvesting occurs at ages 5, 10, and 15

site's IRR, the more desirable it is to plant the specific SRWC species on the site. In our case, the "site" was the ZCTA.

We used Microsoft Excel to estimate LEV and IRR for each species on each ZCTA within the operable range for the species, according to site conditions and published costs. The models focused on the cultivation phase of the SRWC species and excluded the cost of harvesting and transportation, as these costs would require specifying particular locations of conversion facilities. Model inputs included the mean annual increment (MAI) from the 3PG

model; site preparation costs, planting costs, fertilization costs, and stumpage biomass price were obtained from Timber Mart South, Timber Mart North, and state-level reporting services (Tables 4, 5, and 6); the LEV was calculated for each site using an annual discount rate of 5%. The IRR was also calculated using the cash flow of costs and revenues of the total rotation. The rotation length, the number of coppice rotations, and the ratios of initial and coppice harvests were fixed for each species (Table 3).

The models convert 3PG outputs, MAI of the volume inside bark yield (m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>), to weight of biomass (Mg ha<sup>-1</sup> year<sup>-1</sup>) using specific volume to dry weight conversions (Table 7). Considering that the yield given is inside-bark biomass, the stumpage price was assumed to be \$10 Mg<sup>-1</sup> for all species, slightly higher than Timber-Mart South pulpwood prices.

### Visualization

To avoid the influence of the rigid shape of the ZCTA boundary, a second set of maps was produced with smooth boundaries using the Simple Kriging spatial interpolation technique implemented using ArcGIS<sup>®</sup>. The kriging method has been widely used in soil science and geology [62], and is considered the best linear unbiased estimator of the characteristic under study where it best reflects the minimum mean square error. It minimizes the variance of the estimation errors, resulting in a marked smoothing effect. The method assumed that the distance or direction between observed known points reflected a spatial correlation that can be used to explain variation in the surface. It uses a weighted moving average interpolation to produce the

**Table 6** Management practices and related costs for *Populus* hybrids short-rotation woody biomass crops in the northern USA and *Populus deltoides* in the southern USA (costs based on Dooley and Barlow [25])

Year	Activity	Northern cost, \$US ha <sup>-1</sup>	Southern cost, \$US ha <sup>-1</sup>
0	Herbicide/weed control	267	111
0	Site prep	74	173
0	Mechanical tillage	111	161
0	Planting <sup>a</sup>	2501	571
1	Herbicide/weed control		111
1	Nitrogen fertilizer (67 kg ha <sup>-1</sup> )		146
2	Nitrogen fertilizer (224 kg ha <sup>-1</sup> )	484	
2	Nitrogen fertilizer (134 kg ha <sup>-1</sup> )		292
3	Nitrogen fertilizer (202 kg ha <sup>-1</sup> )		435
4	Harvest <sup>b</sup>	X	
0	Stump removal (after harvest)	741	
6	Nitrogen fertilizer (180 kg ha <sup>-1</sup> )		435
8	Harvest <sup>c</sup>		X
0	Stump removal (after harvest)		\$300

<sup>a</sup>Planting hybrid poplar in the north is at 10,005 cuttings ha<sup>-1</sup>; planting *P. deltoides* in the south is at 1730 cuttings ha<sup>-1</sup>

<sup>b</sup>Harvesting occurs at ages 4, 8, 12, 16, 20, and 24 for hybrid poplar in the north

<sup>c</sup>Harvesting occurs at ages 8, 16, and 24 for *P. deltoides* in the south

**Table 7** Volume to dry weight conversions for each species used in the biomass calculations

Species	Volume to dry weight conversion
<i>Pinus taeda</i>	0.50
<i>Eucalyptus benthamii</i>	0.55
<i>Eucalyptus grandis</i>	0.50
<i>Populus deltoides</i>	0.35
<i>Populus hybrid</i>	0.35

optimal spatial linear prediction. Mathematically, the form of the Simple Kriging estimator is

$$Z^*(\mu) = m + \sum_{\alpha=1}^{n(\mu)} \lambda_{\alpha} [Z(\mu_{\alpha}) - m] \quad \mu, \mu_{\alpha} \quad (1)$$

where  $\mu$ ,  $\mu_{\alpha}$  are location vectors for estimation point and one of the neighboring data points is indexed by  $n(\mu)$ , the number of data points in local neighborhood used for estimation of  $Z^*(\mu)$ ;  $\lambda_{\alpha}$  is an unknown weight for the measured value at the point  $\alpha$ ;  $m$  is the constant and known expected value of  $Z^*(\mu_{\alpha})$ ; and  $Z(\mu_{\alpha})$  is the known measured value of point  $\alpha$ . Unlike the weight,  $\lambda_{\alpha}$  is the IDW (inverse distance weighted), which depends solely on the distance to the prediction location; the weight  $\lambda_{\alpha}$  in the Kriging method is based not only on the distance between the measured points and the prediction location but also on the overall spatial arrangement (or distribution) of the measured points.

## Results

Production systems for SRWC are extremely regionalized due to constraints imposed by species adaptation to key characteristics of soils and climate. Growth and production costs determine the potential for profitability based on acceptable mean annual increment (MAI), land expectation value (LEV), and internal rate of return (IRR). The ranges of modeled productivity, LEV, and IRR for the target species in the eastern USA are given in Table 8. Productivity was measured as mean annual biomass increment (MAI; Mg ha<sup>-1</sup> year<sup>-1</sup>) or mean annual

volume increment (m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>) and was higher in the southern portions of the ranges of each of the five target species. *Eucalyptus grandis* in southern Florida had the highest modeled biomass productivity (59.5 Mg ha<sup>-1</sup> year<sup>-1</sup>). Yields of *E. grandis* were lower in northern Florida given the prevalence of annual frost in this region. *Eucalyptus benthamii* is somewhat more tolerant of frost and can be planted farther north, producing potential yields almost as high as *E. grandis*. Both species achieved positive LEV at similar volume growth rates (30 and 31 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup> for *E. grandis* and *E. benthamii*, respectively; Table 8).

Hybrid poplar in the northern states and *Populus deltoides* in the southern states can also be quite productive with modeled potential volume productivity respectively, as high as 31.6 and 29 m<sup>3</sup> ha<sup>-1</sup> year<sup>-1</sup>. While these species have wide geographic ranges, indicating tolerance of a wide range of climates, they are also the most demanding of site and intolerant of low fertility and low available soil moisture [81]. *Pinus taeda* (loblolly pine), the only conifer considered and the one species that does not coppice, is a widely planted commercial species in the southern USA. It had the highest potential biomass production in southeast Texas, southwest Louisiana, and northern Florida [66]. Modeled biomass increment was lower than the broadleaved species with a maximum of 18.6 and 20.4 Mg ha<sup>-1</sup> year<sup>-1</sup> on upland and lowland sites, respectively.

Higher yields in the southern portion of the operable ranges of the five species also resulted in corresponding higher estimates of LEV and IRR. Return on invested capital was competitive for all five species; dependent on the location of a species within its operable range. *Eucalyptus benthamii* had IRRs approaching 16% along the coastal regions of the southern USA. *Eucalyptus grandis* had IRR exceeding 20% in coastal regions of south Florida [82]. Hybrid poplar had IRR approaching 15% in central Missouri, southern Indiana, and southern Illinois. Despite lower annual productivity than the other species, *Pinus taeda* nevertheless had an attractive IRR of approximately 4% on uplands and approximately 10% on lowlands. For the same soil texture, LEV and IRR for

**Table 8** Potential mean annual biomass increment (MAI), land expectation value (LEV), internal rate of return (IRR), and profitability threshold (minimum volume inside bark to yield LEV ≥ 0) for target woody crops in the eastern United States

Species	MAI range (Mg ha <sup>-1</sup> year <sup>-1</sup> )	LEV range (US\$ ha <sup>-1</sup> )	IRR range (%)	Profitability threshold	
				(m <sup>3</sup> ha <sup>-1</sup> year <sup>-1</sup> )	(Mg ha <sup>-1</sup> year <sup>-1</sup> )
<i>Pinus taeda</i> , upland	5.4 to 18.6	-1126 to 3112	-0.3 to 14.2	18	9
<i>Pinus taeda</i> , lowland	6 to 20.4	-2263 to 2342	-2.9 to 10.4	24	12
<i>Eucalyptus grandis</i>	9 to 59.5	-1264 to 1710	-9.7 to 16.9	30	15
<i>Eucalyptus benthamii</i>	1.8 to 41.8	-2707 to 1532	-2.6 to 15.9	31	17
Hybrid poplar	3.6 to 28.7	-1915 to 3862	-13.9 to 11.4	31	11
<i>Populus deltoides</i>	1.1 to 26.7	-3487 to 3845	-24.2 to 14.6	30	11

loblolly pine were higher on uplands than on lowlands because of lower site preparation costs; although the projected yields on upland soils were generally lower than those of lowland soils [66].

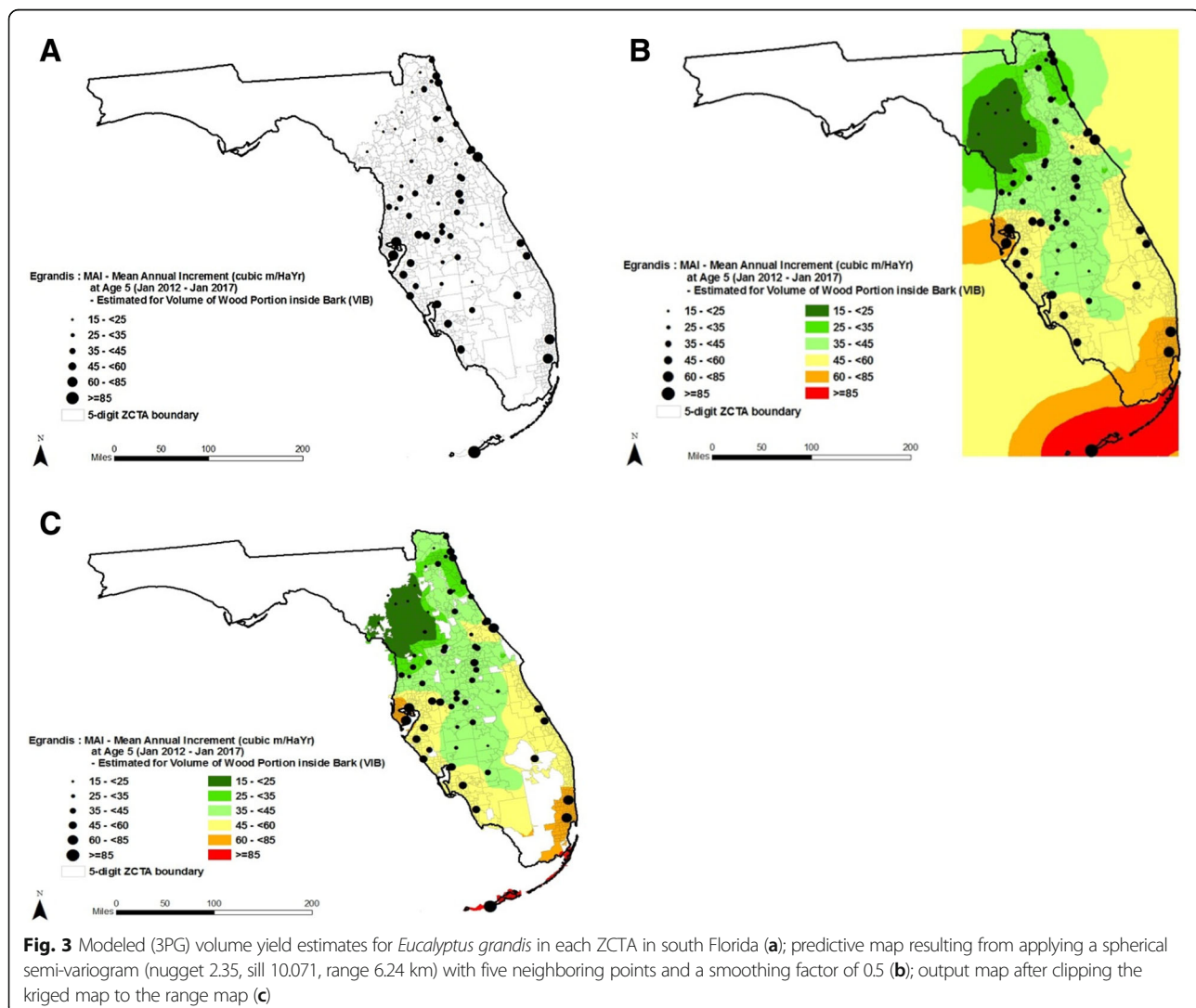
The kriging technique produced smoothed maps for visualizing results and is illustrated with MAI results of *E. grandis* in southern Florida, i.e., the volume yield estimates for each ZCTA were used as input points (Fig. 3a). Five neighboring points were included for calculating the kriging weights; a spherical semi-variogram was applied with default settings for a nugget of 2.35, a sill of 10.071, a range of 6.24 km, and a smoothing factor of 0.5. This produced a rectangular raster map (Fig. 3b). That predictive map was clipped to the range map to show the modeled volume production (Fig. 3c).

## Discussion

Renewable energy has numerous technical, economic, and social challenges [79], one of which is the availability

of sustainable biomass feedstock. The renewed interest in the USA in fast growing trees for bioenergy plantations [69] has raised a number of questions as to sustainability, carbon neutrality, and effects on biodiversity [41, 84] as well as economic feasibility [33, 56]. Answering these questions requires site- and species-specific information; this study begins to address these problems by developing spatially specific SRWC data on growth potential and economic analyses. Additionally, these data provide a coarse filter for practitioners interested in siting biomass facilities.

The modeled biomass growth potential of four species and one hybrid, validated against existing data, literature, on-going research, and expert guidance, indicated that a SRWC feedstock supply chain system is likely to be highly affected by local biophysical characteristics governing the productivity potential of the species being considered. The analysis is based on baseline management systems and inputs that can be modified in alternative management



systems for each species. Profitability potential was derived from the productivity estimates using standard economic analyses (LEV and IRR). Analyses and visualization of results was done at the spatial resolution of the 5-digit ZIP Code Tabulation Area level (Figs. 1 and 2); each 5-digit ZCTA is unique in terms of species suitability, cost, and productive potential. The spatial resolution of the ZCTA is higher than administrative units such as counties and much other socioeconomic data are available at the ZCTA-level for further analysis such as the impact of natural hazards on biomass supply chains [64].

A detailed economic and comparative analysis is feasible between the target species where ranges overlap. Any incentives, such as payments for ecological services or subsidies, which would add value for growing biomass, could also increase SRWC production at a particular location. Assessing ecological service from properly designed and managed SRWC would benefit from the explicit spatial information from our method [19, 92, 94, 95].

The biomass yield numbers can be used for further economic evaluation, carbon sequestration studies, phytotechnologies, and sustainability research. Potential environmental effects of widespread deployment of SRWC could use our spatially explicit results to focus analysis and inform potential debate. In particular, the renewed interest in planting frost-tolerant *Eucalyptus* species, including genetically modified organisms, beyond southern Florida has aroused concerns for adverse effects on biodiversity, water supply, and potential extreme fire behavior [35, 54, 82, 89] and realistic assessments of potential problems require knowing where plantings would be economically feasible, as found in Stanturf et al. [82]. Our results indicate that future deployment of *E. benthamii* will remain constrained to coastal areas due to growth reductions from episodic low temperatures and frost; Wear et al. [89] suggest that even genetically modified freeze-tolerant *Eucalyptus* will be limited by market uncertainties despite the potential to meet shortfalls in supply of hardwood fiber [43].

Growth potential was assessed at the landscape-scale, which is insufficient for assessing actual biomass supply levels. The growth and yield models that could provide this information are available for *Pinus taeda* and to a lesser extent for hybrid poplar but not so for the other target species and there is insufficient empirical data to evaluate all combinations of site, climate, and management systems. More detailed assessments could use our results as a coarse filter to look either at where to locate a dedicated bioenergy facility or to evaluate the potential for a developed site to utilize one of the target species to produce bioenergy, followed by more detailed analysis using available empirical data and models.

Our method has some limitations that can be overcome or mitigated by further research and development. Continued research to further frame the parameters for

the 3PG model is needed, for these target species as well as other species of interest such as *Platanus occidentalis* (sycamore) and *Liquidambar styraciflua* (sweetgum) that have been suggested for SRWC [42, 69]. The 3PG model itself could be improved. The variables for canopy structure and processes (Table 2) are particularly important as they define the light use efficiency, defining light interception as well as carbon capture by the canopy. The canopy quantum efficiency variable specifically is an estimate of the production of carbon produced per unit of light captured. Small changes in this parameter result in substantial changes in the estimated productivity, other factors held constant. The difference in value of this parameter for the species considered is apparent; it was greatest for the *Eucalyptus* species, slightly less for *Populus* spp., and least for *Pinus taeda*. Other variables are sensitive to the choice of species as well, particularly specific leaf area and the suite of variables that define canopy conductance. Improved parameterization of phenology and biomass partitioning emphasizing clonal differences would be especially helpful [94].

This modeling framework can be extended to other questions such as the effects of extreme weather and climate change, as well as carbon substitution and sequestration potential of SRWC. Our weather inputs were limited to a 10-year interval, sufficient to run the model but did not incorporate the effects of weather extremes. A few drought years could significantly lower productivity and therefore profitability. The 3PG model is flexible and could incorporate weather scenarios to assess risk of failure or lowered yields. Coupling the model to future weather scenarios from climate models would allow for spatially explicit estimates of climate change effects such as from extreme weather [12, 75]. Because of the linkage of land cover types to climate, using this framework to model realistic widespread deployment of SRWC that converted agricultural land would provide indications of their effects on climate (e.g., [13, 18, 53]).

We used specific silvicultural and management regimes for each target species, based on standard practices. Lower costs under different regimes might extend profitability to more sites; other management options might increase income. The well-developed value chain for *Pinus taeda* in the US South, for example, could allow for other biomass/bioenergy combinations such as interplanting with an herbaceous bioenergy crop or a dual-cropping system with sawlog or pulpwood and bioenergy [66]. The very high-density system we used for hybrid poplar in the northern half of the eastern USA was non-standard; other work has examined more traditional spacing [37, 49, 93] and we wished to avoid duplication. In the northeastern USA, high-density *Salix* bioenergy systems are under development [88, 92] and we sought results that could be compared to those systems. Over a range of sites, the best new *Salix* clones produced from 8.7 to 17.2 Mg ha<sup>-1</sup> year<sup>-1</sup>

[88]; our hybrid poplar results are well-within this range (Table 8).

## Conclusions

Economic variations impact public, private, or venture-backed biomass businesses in many different ways. Generally, biomass supply systems are hindered by a lack of geographical specificity of feedstock supply. Assessing the economic feasibility and sustainability of biomass supply is essential for this emerging industry. The US Billion-Ton Update report [69] projected that more cellulosic feedstock will be available at higher feedstock prices. Increasing the available feedstock by lowering cost, increasing productivity, and stabilizing logistics would have the same effect as higher feedstock prices. A species production cost and its corresponding market price will likely influence the feedstock selected for inclusion in the biomass supply chain. The feedstock market price will also affect the size of an area where it is likely to be deployed, implying that productive potential and species type and genetics are the important factors determining locations for conversion facilities [49].

This study provides value for the emerging bioeconomy by estimating yields and return on investments at the resolution of the 5-digit ZCTA for target species in the Eastern USA: *Pinus taeda*, *Populus deltoides* and hybrids, *Eucalyptus grandis*, and *Eucalyptus benthamii*. The key findings in our analysis are:

1. Local characteristics (site and climate) governing the productivity potential of a species being considered for a SRWC feedstock supply chain system determines potential profitability
2. Regional analyses of productivity and potential profitability of SRWC can be accomplished using a process-based model such as 3PG
3. Biomass yields as measured in mean annual biomass increment ( $\text{Mg ha}^{-1} \text{ year}^{-1}$ ) were higher in the southern portions of the operable ranges of each of the four target species
4. *Eucalyptus grandis* in southern Florida had the highest modeled productivity ( $59.5 \text{ Mg ha}^{-1} \text{ year}^{-1}$ )
5. Return on invested capital was competitive for all four species but depended highly on the location within its operable range
6. This modeling framework can be extended to other questions such as the effects of extreme weather and climate change, carbon substitution and sequestration potential of SRWC, and potential environmental effects of widespread deployment of SRWCs including non-native *Eucalyptus* species.

## Abbreviations

IRR: Internal rate of return; LEV: Land expectation value; MAI: Mean annual increment; ZCTA: Zip code tabulation area

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## Authors' contributions

JAS—Lead: site suitability, ecological parameters, and growth modeling (3PG); JHP—Overall lead: study design, coordination, and oversight; supply chain analytics; TMY—Lead: statistical algorithms and data fusion, logistic regression analysis and spatial correlation and SQL database-GIS integration within BioSAT. XH—Statistics, GIS analysis and mapping, visualization, and data techniques; ZG—economic modeling, MAI, LEV, IRR, DD and MP—3-PG Model and yield outputs for SRWC species. All authors read and approved the final manuscript.

## Authors' information

The lead authors' expertise and research credentials provided an opportunity to contribute to a national energy strategy that produced four unified studies, namely the 2005 US Billion-Ton Study (BTS), the 2011 U.S. Billion-Ton Update (BT2), and the 2016 US Billion-Ton Report, Volume 1 and 2. J. H. Perdue is a retired senior biological scientist supporting biobased research to achieve economic prosperity and ecological benefits with the USDA Forest Service, Center for Forest Disturbance Science. He earned Natural Resource and Forestry degrees from Colorado State University, Auburn University and Wallace State Community College. His capability has taken him across the USA leading complex projects in natural resource risk management and policy; financial and resource evaluation; and economic development. Currently, he leverages and advances a multi-partner research collaborative deploying innovative biomass and bioenergy decision support and visualization tools. The tools make use of socio-economic and biophysical drivers as well as the place-based human-environmental conditions that influence biobased land-use decisions. During his career, he has accumulated an outstanding slate of recognition including: Council on Environmental Quality Award for Excellence in Environmental Planning; Innovator of the Year Award from the Southern Governors and numerous awards for National and Regional biobased leadership. J. A. Stanturf is a Visiting Professor, Institute of Forestry and Rural Engineering, Estonian University of Life Sciences and Retired Senior Scientist, USDA Forest Service, Center for Forest Disturbance Science. His research is focused on restoration, disturbance, climate change adaptation, and bioenergy. He earned his MSc and PhD in Forest Soils from Cornell University. Awards include an Honorary Doctorate from the Estonian University of Life Sciences and the Distinguished Science Award from the Chief of the Forest Service. He holds several offices in IUFRO including chair of Restoration of Degraded Lands. He has conducted research in temperate and tropical forests in North and South America, Europe, and Asia. Recently he worked on REDD+, climate change vulnerability, and related issues in Africa through consultancies with the US Agency for International Development. He continues to consult and conduct training through IUFRO on Forest Landscape Restoration in support of the Bonn Challenge. T. M. Young is a Full Professor in the Department of Forestry, Wildlife and Fisheries, Center for Renewable Carbon, at the University of Tennessee. He has Ph.D. and M.S. in Statistics from the University of Tennessee and M.S. and B.S. degrees in Forestry from the University of Wisconsin, Madison. His current areas of research include real-time data fusion, advanced analytics and modeling, real-time ensemble predictive process modeling of manufacturing systems, real-time Statistical Process Control, and Design of Experiments. He has 278 scientific publications and more than 300 professional presentations with 17 keynotes. He has been an active, invited lecturer and speaker in Austria, Canada, China, Croatia, Germany, Greece, Ireland, New Zealand, Romania, Slovenia, and Wales. He was an *Austrian-American*

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#### Availability of data and materials

Data available upon request.

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Not applicable.

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#### Competing interests

The authors declare that they have no competing interests.

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